Please type a plus sign (+) inside this box ⟶ ☒

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.	SN-US015166A
First Inventor	Yoshiaki NANKO
Title	FRONT DERAILLEUR FOR A BICYCLE
Express Mail Label No.	

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status. See 37 CFR 1.27.

3. ☒ Specification [Total Pages **22**]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C.113): [Total Sheets **12**]

5. Oath or Declaration [Total Pages **1**]
   a. ☐ Newly executed (original or copy)
   b. ☒ Copy from a prior application (37 CFR 1.63 (d))
      (for a continuation/divisional with Box 18 completed)
      i. ☐ <u>DELETION OF INVENTOR(S)</u>
         Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*

8. Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
   a. ☐ Computer Readable Form (CRF)
   b. Specification Sequence Listing on:
      i. ☐ CD-ROM or CD-R (2 copies); or
      ii. ☐ paper
   c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☒ 37 C.F.R.§3.73(b) Statement   ☒ Power of *(when there is an assignee)* Attorney

11. ☐ English Translation Document *(if applicable)*

12. ☐ Information Disclosure Statement (IDS)/PTO-1449   ☐ Copies of IDS Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*

15. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. ☐ Other: _____

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18. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:*
☒ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   of prior application No: <u>09 / 991,880, filed 11/26/01</u>
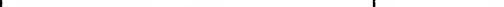
Prior application information: Examiner <u>Timothy P. McAnulty</u>   Group / Art Unit: <u>3682</u>

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label   **22919**
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Name (Print/Type)	**Thomas A. Morrison**	Registration No. (Attorney/Agent)	**46,104**
Signature	*Thomas A. Morrison*	Date	*February 22, 2004*

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL FORM

Attorney Docket No.: **SN-US015166A**

ASSISTANT COMMISSIONER OF PATENTS
BOX: PATENT APPLICATION
Washington, D.C. 20231

Transmitted herewith for filing is the patent application of

Inventor: **Yoshiaki NANKO**

For: **FRONT DERAILLEUR FOR A BICYCLE**

The filing fee for filing this patent application has been calculated as follows:

	(Col. 1) NO. FILED	(Col. 2) NO. EXTRA	SMALL ENTITY RATE	SMALL ENTITY FEE	OR	OTHER THAN A SMALL ENTITY RATE	OTHER THAN A SMALL ENTITY FEE
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE				$385.00	OR		$770.00
TOTAL	11	- 20 = 0	x 09 =	$		x 18 =	$
INDEP	2	- 3 = 0	x 43 =	$.		x 86 =	$
☐ MULTIPLE . DEPENDENT CLAIMS			+ 145 =	$		+ 290 =	$
If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL	$		TOTAL	$770.00

☒ A check is enclosed in the amount of $ **770.00** to cover ☒ the above calculated filing fee and ☐ the $40.00 Assignment recording fee.

☐ Please charge our Deposit Account No. **50-1836** in the amount of $ _____ to cover ☐ the above calculated filing fee and ☐ the $40.00 Assignment recording fee.

☐ Other:

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. **50-1836**. A duplicate copy of this sheet is attached.
    ☒ Any additional excess claim fees under 37 CFR 1.16.
    ☒ Any additional patent application processing fees under 37 CFR 1.17.

Dated: _February 22, 2004_

Thomas A. Morrison
Reg. No. 46,104

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